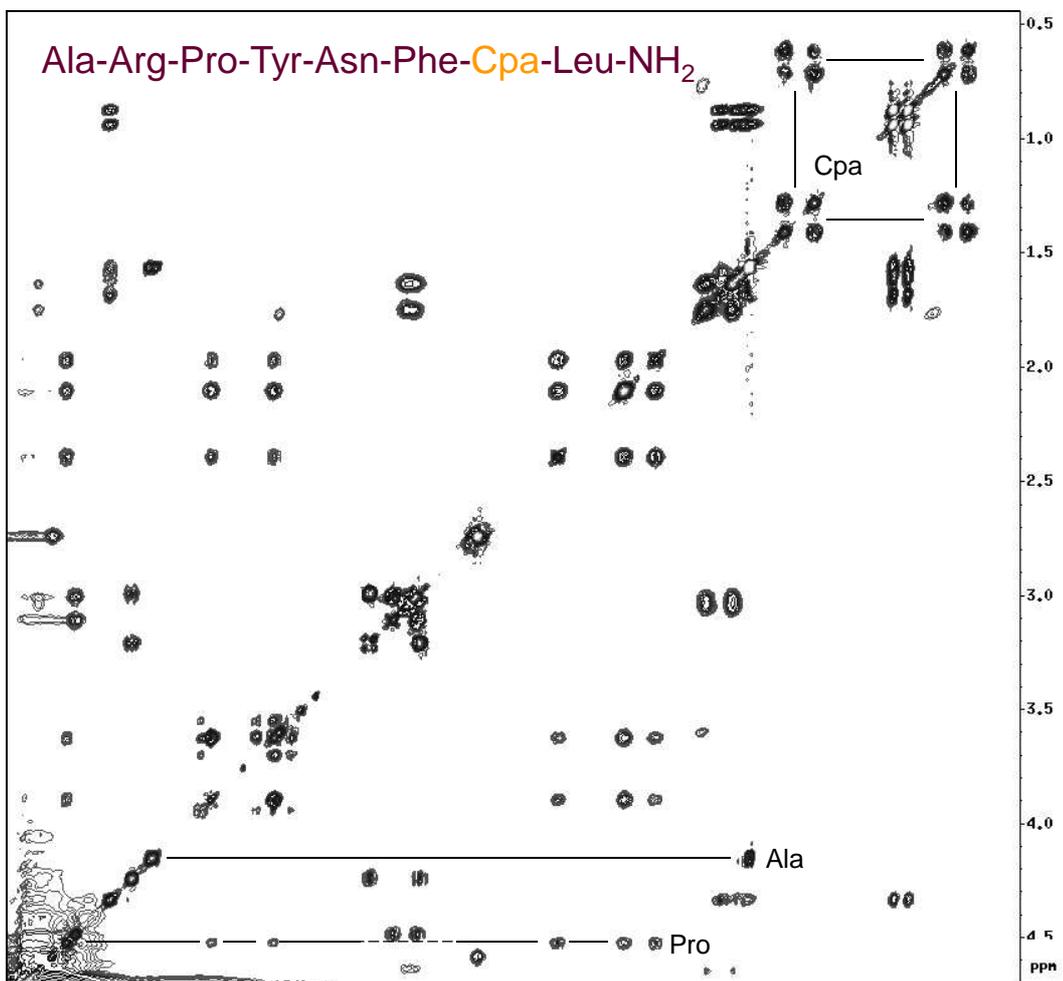


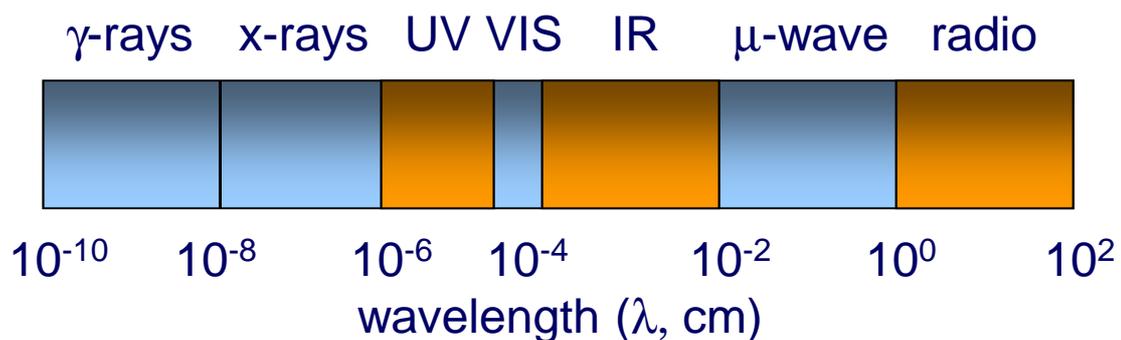
# Applied Spectroscopy



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# What is Spectroscopy?

- Without going into latin or greek, spectroscopy is the study of the interactions between **light** and **matter**.
- Here **light** refers to any sort of electromagnetic radiation, such as visible light, UV, IR, and radiowaves.
- Depending on the **frequency** or **wavelength** of the radiation involved we will have different types of interactions with matter (molecules).
- The following chart shows the ranges (wavelengths), for different types of spectroscopies.



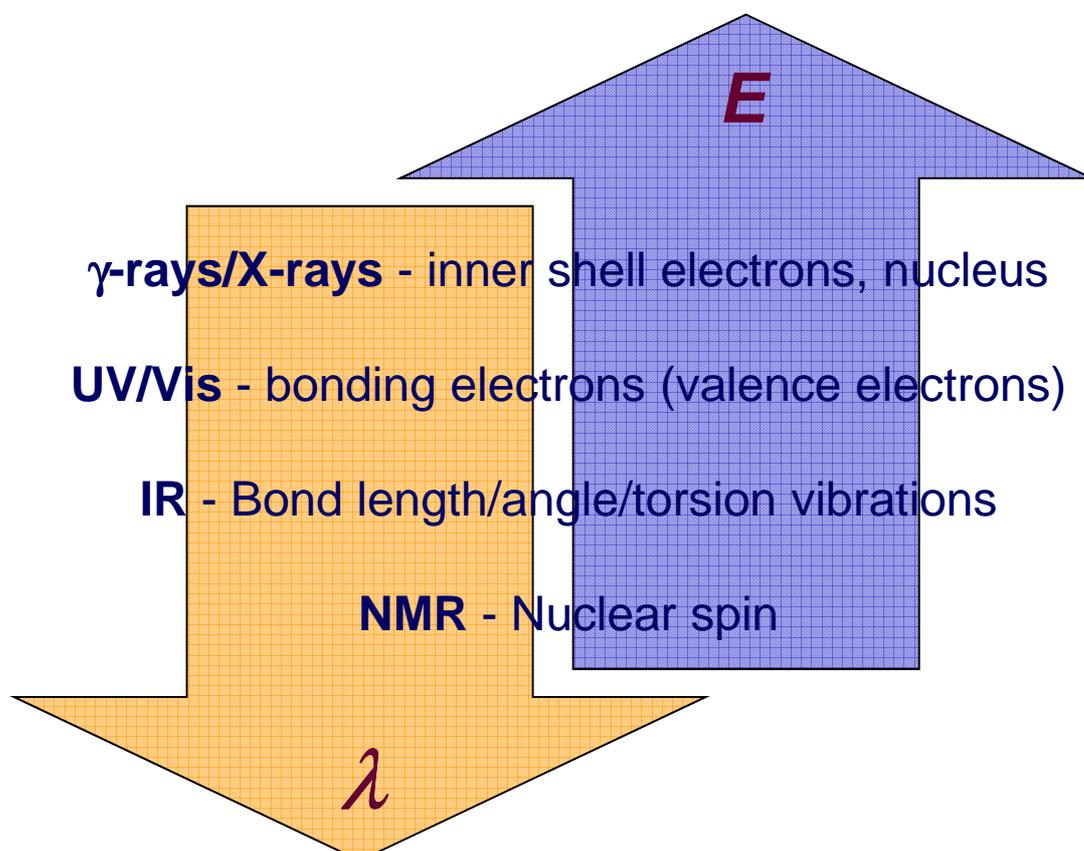
- As you know, wavelength and frequency are inversely proportional, so higher frequencies mean shorter wavelength.

## Some background

- Also to remember throughout the course is the relationship between energy and frequency. The two are related by one of the fundamental equations of quantum mechanics:

$$\Delta E = h \nu$$

- Therefore, the higher the frequency, the higher the energy. In addition, and as said before, depending on the frequency and wavelength we'll have different interactions with matter (molecules). The following is a brief list of these:



# Why bother learning NMR?

- Structural (chemical) elucidation
  - Natural product chemistry.
  - Organic chemistry. Analytical tool of choice for synthetic chemists.
- Study of dynamic processes
  - Reaction kinetics.
  - Study of equilibrium (chemical or structural).
- Structural (three-dimensional) studies
  - Proteins.
  - DNA/RNA. Protein complexes with DNA/RNA.
  - Polysaccharides
- Drug design
  - Structure Activity Relationships (SAR) by NMR
- Medicine - Magnetic Resonance Imaging (MRI)
- Finally, it's the biggest, meanest, most expensive piece of equipment you'll see in your career at USP, and this is a great time to get your hands on it...

## The gory details

- Absorption (or emission) spectroscopy, as IR or UV. Detects the absorption of radiofrequencies (electromagnetic radiation) by certain nuclei in a molecule.
- Unfortunately, some quantum mechanics are needed to understand it (a lot to really understand it...).
- As opposed to the atomic mass or charge, the spin has no macroscopic equivalent. It exists, period...
- Only nuclei with **spin number** ( $I$ )  $\neq 0$  can absorb/emit electromagnetic radiation.
  - Even atomic mass & number  $\Rightarrow I = 0$  ( $^{12}\text{C}$ ,  $^{16}\text{O}$ )
  - Even atomic mass & odd number  $\Rightarrow I =$  **whole integer** ( $^{14}\text{N}$ ,  $^2\text{H}$ ,  $^{10}\text{B}$ )
  - Odd atomic mass  $\Rightarrow I =$  **half integer** ( $^1\text{H}$ ,  $^{13}\text{C}$ ,  $^{15}\text{N}$ ,  $^{31}\text{P}$ )
- The **spin states** of the nucleus ( $m$ ) are **quantized**:

$$m = I, (I - 1), (I - 2), \dots, -I$$

- Properly,  $m$  is called the **magnetic quantum number**.

## Background (continued)

- For  $^1\text{H}$ ,  $^{13}\text{C}$ ,  $^{15}\text{N}$ ,  $^{31}\text{P}$  (biologically relevant nuclei with  $I = 1/2$ ):

$$m = 1/2, -1/2$$

- This means that only two states (energy levels) can be taken by these nuclei.
- Another important parameter of each particular nuclei is the **magnetic moment** ( $\mu$ ), which can be expressed as:

$$\vec{\mu} = \gamma I h / 2\pi$$

- It is a vector quantity that gives the direction and magnitude (or strength) of the 'nuclear magnet'
  - **h** is the Planck constant
  - $\gamma$  is the **gyromagnetic ratio**, and it depends on the nature of each nuclei.
- Different nuclei have different magnetic moments.
- The energy of a spin in a magnetic field will depend on the magnetic field, which we call  $\mathbf{B}_0$ , and  $\mu$ :

# Magnetic energy and populations

- When the  $\mathbf{B}_0$  field is applied, spins have two possible energy limits. In one we are in favor of the field, and in the other one we are against it. The energy is the dot product of the corresponding vectors:

$$E = -\boldsymbol{\mu} \cdot \mathbf{B}_0$$

$$E_\alpha = -\gamma h \mathbf{B}_0 / 4\pi \qquad E_\beta = \gamma h \mathbf{B}_0 / 4\pi$$

- The energy difference of the two levels,  $\alpha$  and  $\beta$ , is:

$$\Delta E = \gamma h \mathbf{B}_0 / 2\pi$$

- The bigger  $\mathbf{B}_0$ , the larger the energy difference. Also, the **population ratio** between the two levels depends on  $\Delta E$ , and we can calculate it as a Boltzmann distribution.
- The  $\Delta E$  for  $^1\text{H}$ 's at 400 MHz ( $\mathbf{B}_0 = 9.4 \text{ T}$ ) is  $4 \times 10^{-5} \text{ Kcal / mol}$ .

$$N_\alpha / N_\beta = e^{\Delta E / RT}$$

- The  $N_\alpha / N_\beta$  ratio is only **1.000064**.

- In one million spins we have a difference of just 64: NMR is very insensitive when compared to UV or IR...

# Magnetic energy, sensitivity, and frequency

- Nuclei with larger  $\gamma$  will absorb/emmit more energy, and will therefore be more sensitive. Sensitivity is proportional to  $\mu$ , to  $N_\alpha / N_\beta$ , and to the 'magnetic flux of the coil,' all of which depend on  $\gamma$ . In sum, sensitivity is proportional to  $\gamma^3$ .

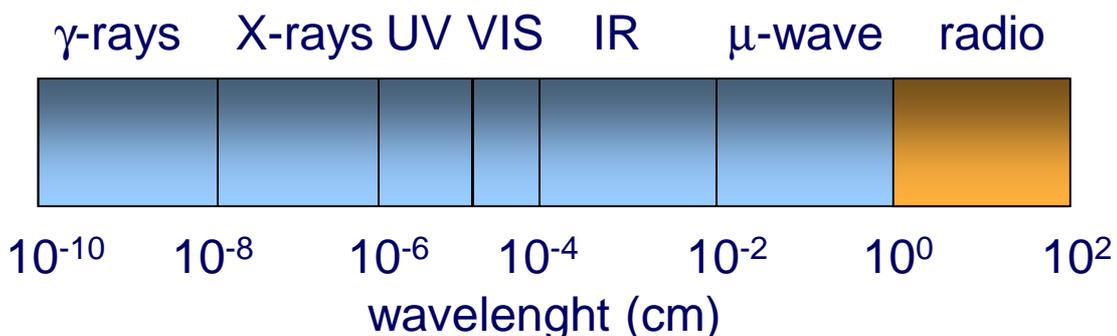
$$\gamma^{13\text{C}} = 6,728 \text{ rad / G} \quad \bullet \text{ } ^1\text{H is } \sim 64 \text{ times more sensitive than } ^{13}\text{C} \text{ only due to } \gamma$$

$$\gamma^1\text{H} = 26,753 \text{ rad / G}$$

- If we also take into account the natural abundance,  $^{13}\text{C}$  (~1%) ends up being 6400 less sensitive than  $^1\text{H}$ ...
- Energy is related to frequency (quantum mechanics...):

$$\left. \begin{aligned} \Delta E &= h \nu_0 \\ \Delta E &= \gamma h B_0 / 2\pi \end{aligned} \right\} \nu_0 = \gamma B_0 / 2\pi$$

- For  $^1\text{H}$ 's in normal magnets (2.35 - 18.6 T), the frequencies are between 100 and 800 MHz. For  $^{13}\text{C}$ , 1/4 of this...



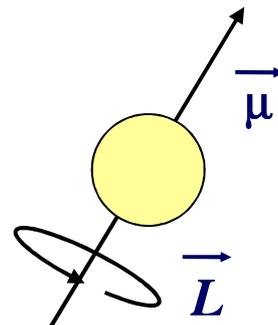
# Precession

- To explain everything in NMR we have to refer to rotation, and Hz are not the best units to do this. We define the **precession** or **Larmor** frequency,  $\omega_0$ , in radians:

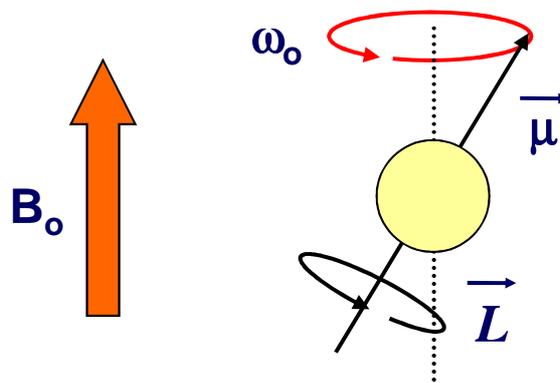
$$\omega_0 = 2\pi\nu_0 \Rightarrow \omega_0 = \gamma B_0 \text{ (radians)}$$

- With what precession is  $\omega_0$  related to? One thing we left out of the mix was the **angular momentum**,  $L$ , associated with all nuclei (magnetic or not).

- We can think of nuclei as small magnetized tops that spin on their axis:

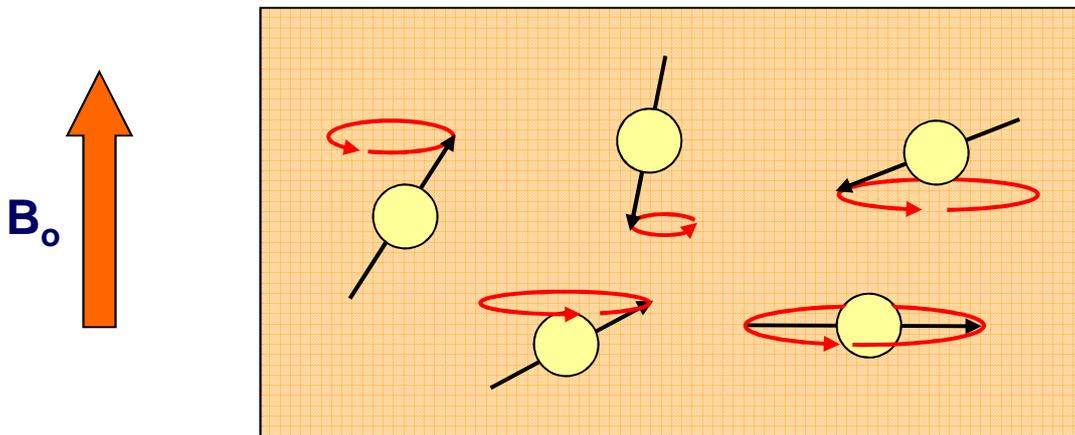


- After turning the magnet on we'll have two forces acting on the spins. One that tries to turn them towards  $B_0$ , and the other that wants to maintain their angular momentum. The net result is that the nuclei spins like a top:



## Precession (continued)

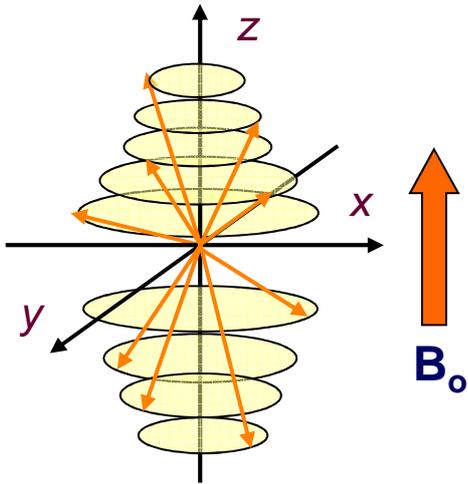
- Now we have to go against a concept used by lots of people to explain NMR: Spins **won't align** with  $\mathbf{B}_0$ , no matter what their initial orientation was. Spins pointing 'up' and 'down' **don't exist!**
- Spins will precess at the angle they were when we turned on the magnetic field  $\mathbf{B}_0$ :



- There are several magnetic fields acting on the spins. One is  $\mathbf{B}_0$ , which is constant in time and generates the precession at  $\omega_0$ . The others are fluctuating due to the molecular anisotropy and its environment, and make the spins 'try' all the possible orientations with respect to  $\mathbf{B}_0$  in a certain amount of time.
- Orientations in favor of  $\mathbf{B}_0$  will have lower magnetic energy, and will be slightly favored. After a certain time (the **longitudinal relaxation**, more later), a **net magnetization** ( $\mathbf{M}_0$ ) pointing in the direction of  $\mathbf{B}_0$  will develop.

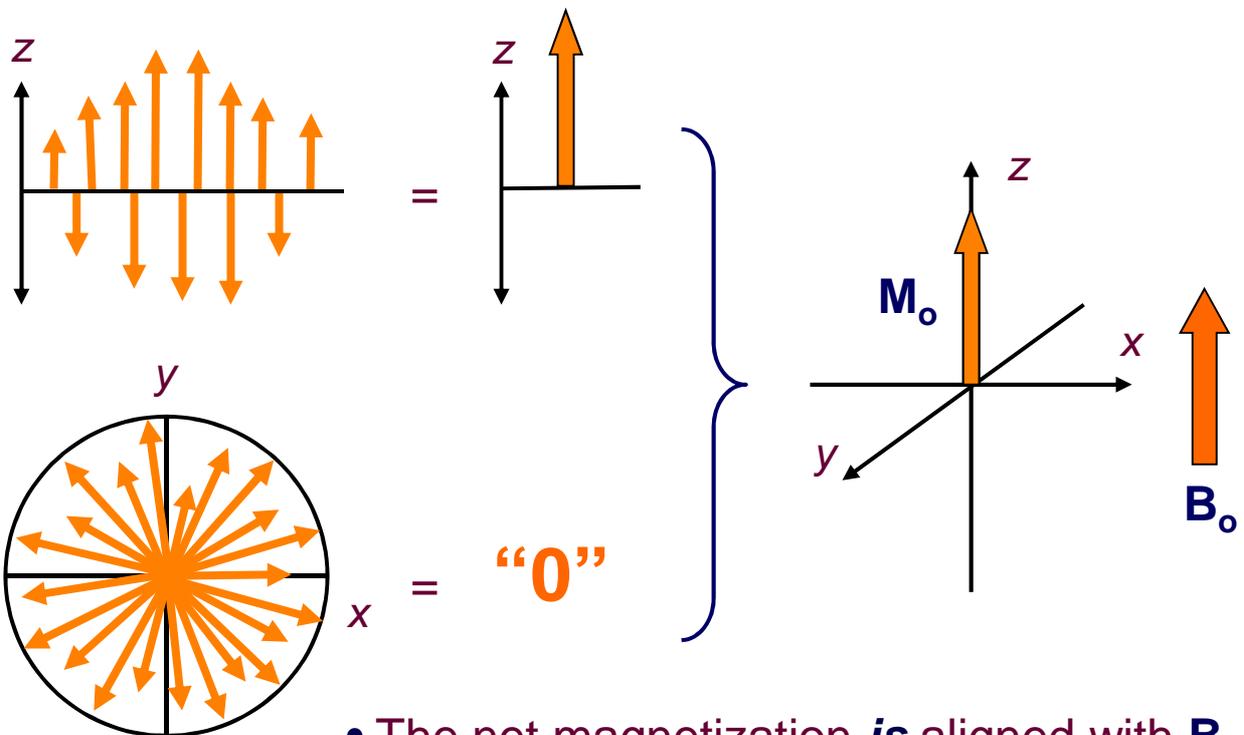
# Net magnetization

- Where does the net magnetization comes from? In order to figure it out we translate all the spins to the origin of the coordinate system. We'll see something like this:



- We'll have a slight excess of spins aligned with  $B_0$ , but at any angle with respect to  $z$ . The distribution is proportional to  $N_\alpha / N_\beta$ .

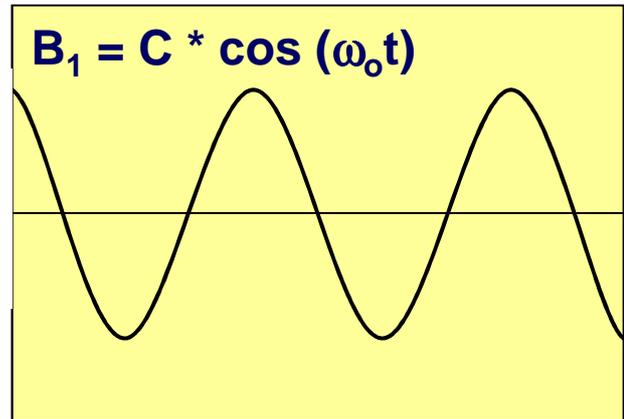
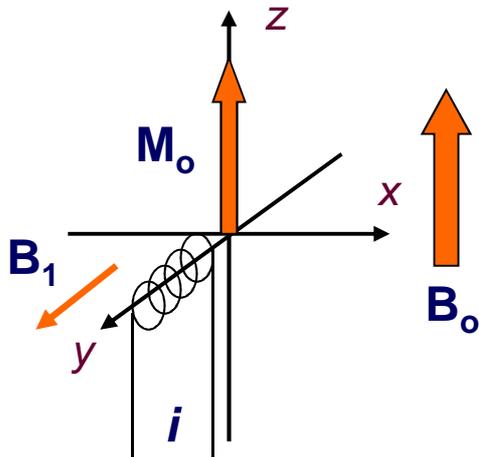
- If we decompose the  $\mu$  vectors in  $z$  and  $\langle xy \rangle$ , we get:



- The net magnetization *is* aligned with  $B_0$ , and this is what we use in NMR.

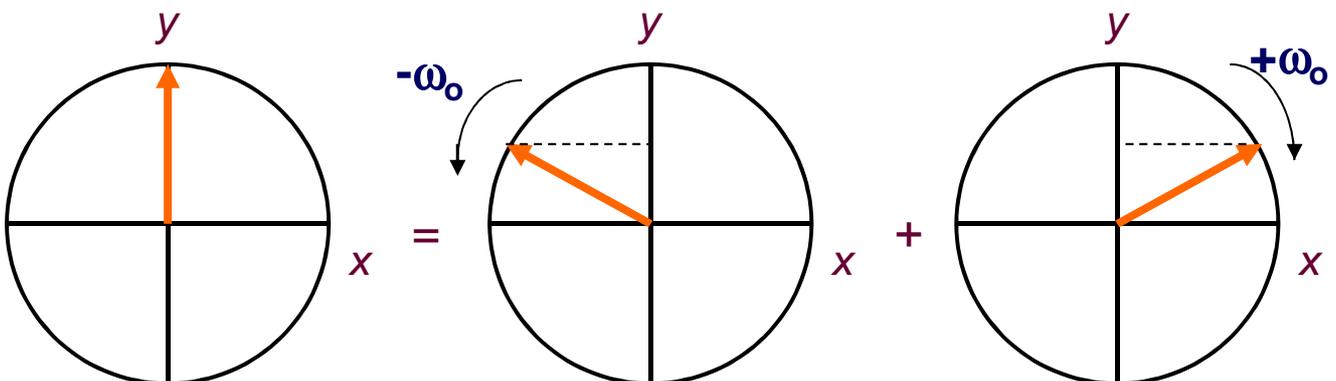
# NMR excitation

- So far nothing happened. We have a little tube spinning in the magnet. To see something we have to move the system away from equilibrium. That is, we have to **perturb its populations**.
- We need the system to absorb energy. The energy source is an oscillating electromagnetic radiation generated by an alternating current:

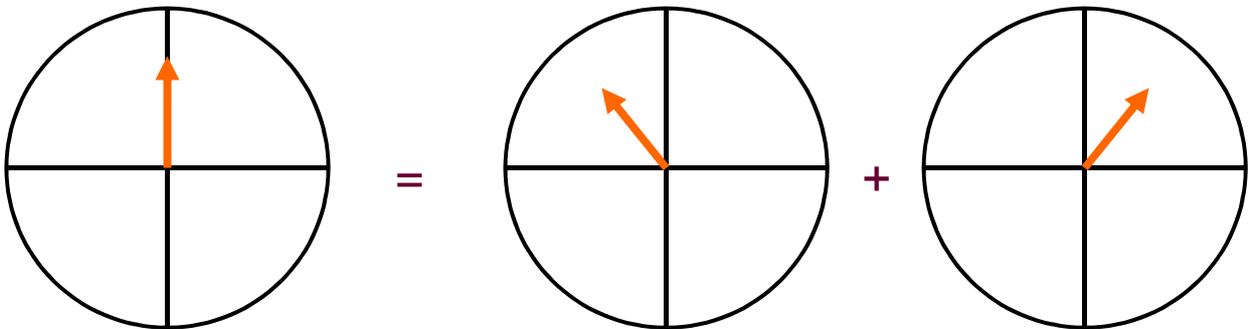
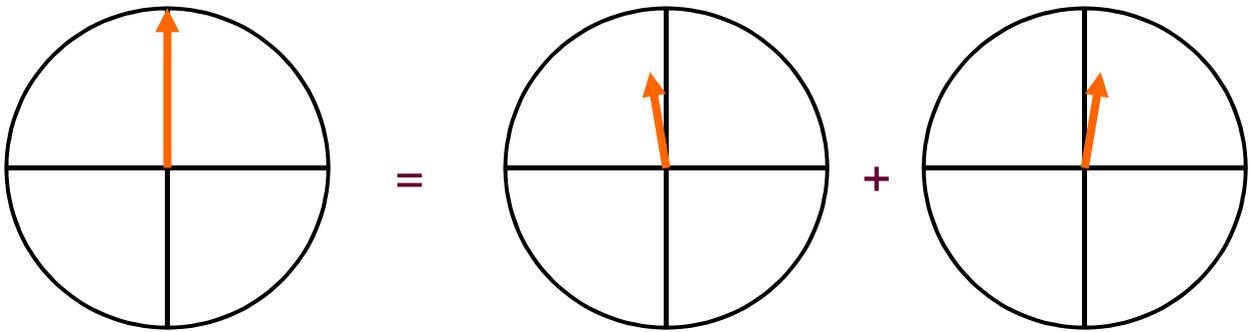


Transmitter coil (y)

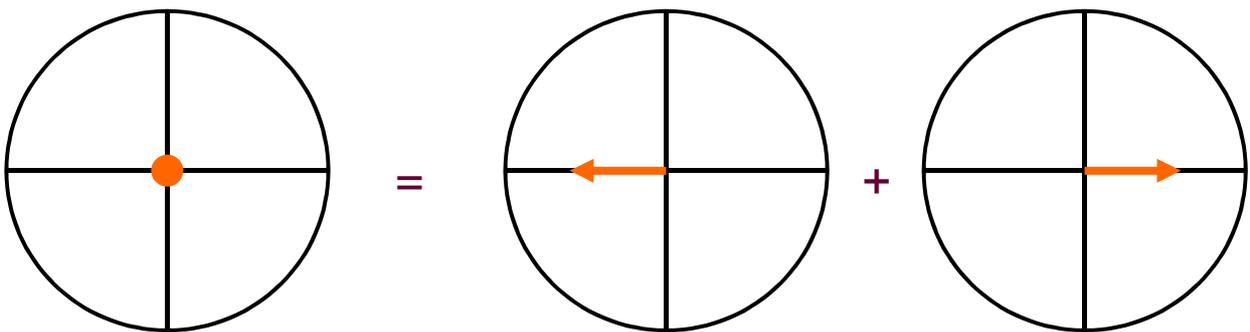
- How is that something that has a linear variation can be thought as circular field? A linear variation in **y** is the linear combination of two counter-rotating circular fields:



For part of the period of oscillation:



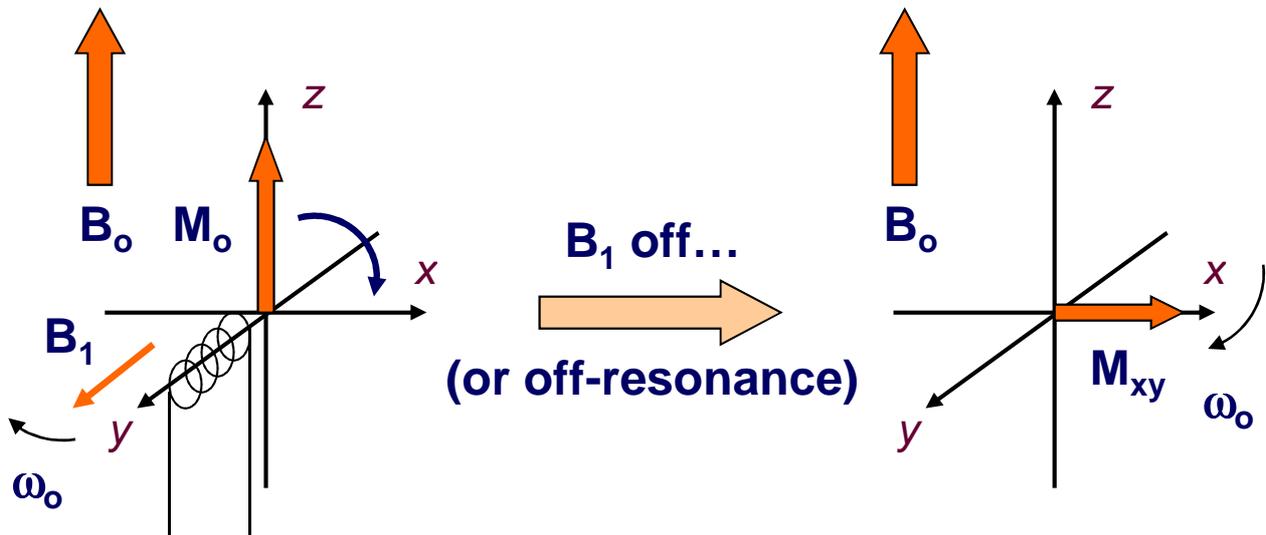
• We go through zero and then it repeats...



• Only the one vector that rotates at  $+\omega_0$  (in the same direction of the precession of  $\mathbf{M}_0$ ) interacts with the bulk magnetization.  $-\omega_0$  is the one normally used, but it's just a convention...

## Now we throw $\mathbf{M}_0$ on the mix...

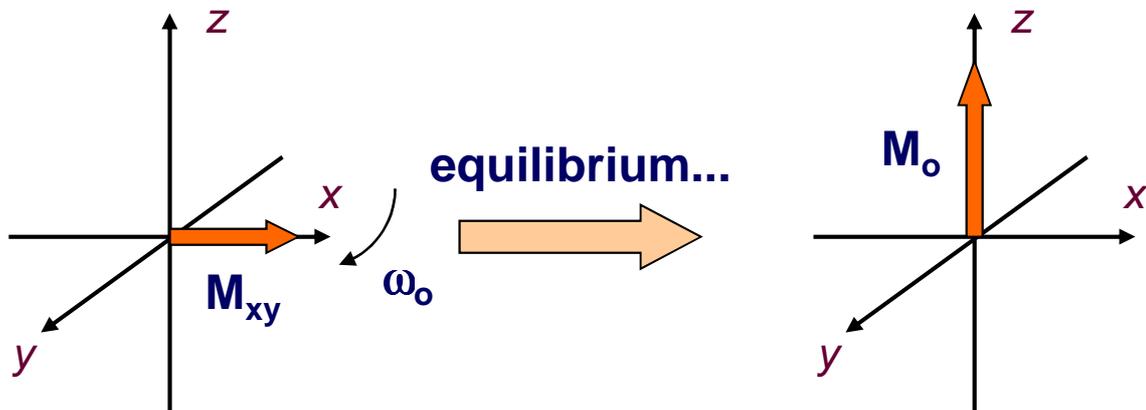
- When the frequency of the alternating current is  $\omega_0$ , the frequency of the right vector of  $\mathbf{B}_1$  is  $\omega_0$  and we achieve a **resonant condition**. The alternating magnetic field and all the  $\mu$ 's interact, there's a torque generated, and they rotate. Since they all rotate the same amount, the macroscopic effect is that  $\mathbf{M}_0$  rotates around the  $\mathbf{y}$  axis (in this case...), and we generate **transverse magnetization** ( $\mathbf{M}_{xy}$ ):



- Since we altered the population ratio between energy levels (i.e.,  $\mathbf{N}_\alpha / \mathbf{N}_\beta$ ), the system absorbed energy and we altered the equilibrium...
- Since the individual spins keep precessing under the effect of  $\mathbf{B}_0$ , the transverse magnetization  $\mathbf{M}_{xy}$  will rotate around the  $\mathbf{z}$  axis at the precession frequency,  $\omega_0$ .

## Detection of $M_{xy}$ and return to equilibrium

- In the absence of the external  $B_1$ ,  $M_{xy}$  will try to go back to the  $z$  axis ( $M_o$ , equilibrium) by restoring the original  $N_\alpha / N_\beta$  distribution. We'll see the physics that rule this phenomenon (*relaxation*) later.
- $M_{xy}$  returns to the  $z$  axis precessing on the  $\langle xy \rangle$  plane (to damned hard to draw...):



- The oscillation of  $M_{xy}$  generates a fluctuating magnetic field which can be used to generate a current in a coil:

